

# EasyCrypt - Lecture 5

## High-level Proof Principles

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## 1. Bounding probabilities:

- PHL and failure event lemma (fel)
- reusable modules to bound guessing and collision probabilities

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- PHL and failure event lemma (`fe1`)
- reusable modules to bound guessing and collision probabilities

## 2. Plug&Pray

## 3. Code movement for random samplings:

- the `eager` tactic
- replacing `lazily` with `eagerly` sampled random functions

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  - PHL and failure event lemma (`fe1`)
  - reusable modules to bound guessing and collision probabilities
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3. Code movement for random samplings:
  - the `eager` tactic
  - replacing `lazily` with `eagerly` sampled random functions
4. Example: IND-CPA\$ security of CBC mode

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1. Bounding probabilities:
  - PHL and failure event lemma (`fe1`)
  - reusable modules to bound guessing and collision probabilities
2. Plug&Pray
3. Code movement for random samplings:
  - the `eager` tactic
  - replacing `lazily` with `eagerly` sampled random functions
4. Example: IND-CPA security of CBC mode
5. Hybrid arguments

# Probability Bounds: Guessing Game

```
module type Adv =  
  run : () →  $\mathbb{F}_q$   
  
module GuessR( $\mathcal{A} : Adv$ ) =  
  proc main() =  
    var s, x  
    s =$  $\mathcal{D}_{\mathbb{F}_q}$   
    x =  $\mathcal{A}.run()$   
    return s = x
```

# Probability Bounds: Guessing Game

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Prove  $\Pr[\text{GuessR}(\mathcal{A}).\text{main} : \text{res}] \leq 1/q$



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module GuessR(A : Adv) =  
  proc main() =  
    var s, x  
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    return s = x
```

Use PHL:

1. `swap` sampling and `A.run()`  
⇒ `x` fixed when `s` sampled

Prove  $\Pr[\text{GuessR}(A).\text{main} : \text{res}] \leq 1/q$

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module type Adv =  
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module GuessR( $\mathcal{A}$  : Adv) =  
  proc main() =  
    var  $s, x$   
     $x = \mathcal{A}.run()$   
     $s =^{\$} \mathcal{D}_{\mathbb{F}_q}$   
    return  $s = x$ 
```

Use PHL:

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    return  $s = x$ 
```

Use PHL:

1. `swap` sampling and  $A.run()$   
 $\Rightarrow x$  fixed when  $s$  sampled
2. `rnd` with event  $s = x$

Prove  $\Pr[\text{GuessR}(A).main : \text{res}] \leq 1/q$

# Probability Bounds: Guessing Game

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Use PHL:

1. `swap` sampling and `A.run()`  
⇒ `x` fixed when `s` sampled
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3. `call(_:true)` for `A.run()`

Prove  $\Pr[\text{GuessR}(A).\text{main} : \text{res}] \leq 1/q$

# Probability Bounds: Guessing Game

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module type Adv =  
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    s = $^{\$}$   $\mathcal{D}_{\mathbb{F}_q}$   
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```

Use PHL:

1. `swap` sampling and `A.run()`  
⇒ `x` fixed when `s` sampled
2. `rnd` with event `s = x`
3. `call(_:true)` for `A.run()`
4. unfold definition of  $\mathcal{D}_{\mathbb{F}_q}$

Prove  $\Pr[\text{GuessR}(A).\text{main} : \text{res}] \leq 1/q$

# Probability Bounds: Generalize Guessing Game

```
type T
axiom finite_T : finite <:T>

const n : nat
axiom n_pos : n > 0.

module type Adv =
  run : () → T set

module GuessR(A : Adv) =
  proc main() =
    var s, sX
    s = $ D_T
    sX = A.run()
    return s ∈ sX ∧ |sX| ≤ n
```

# Probability Bounds: Generalize Guessing Game

```
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    sX = A.run()
    return s ∈ sX ∧ |sX| ≤ n
```

Prove  $\Pr[\text{GuessR}(A).\text{main} : \text{res}] \leq n/|T|$

# Probability Bounds: $n$ -Guessing Game

```
type T
axiom finite_T : finite <:T>

const nc : int
axiom nc_pos : nc > 0.

module type GO =
  guess : T → T

module type Adv(O : GO) =
  run : () → ()
```

```
module GuessC(FA : Adv) =
  module G : GO =
    proc guess(x) =
      s =$ D_T
      if c < nc
        if s = x {win = true}
        c = c + 1
      return s

  module A = FA(G)

  proc main() =
    win = false
    c = 0
    A.run()
```



# Probability Bounds: $n$ -Guessing Game

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type T
axiom finite_T : finite <:T>

const nc : int
axiom nc_pos : nc > 0.

module type GO =
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module type Adv(O : GO) =
  run : () → ()
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        c = c + 1
      return s

  module A = FA(G)

  proc main() =
    win = false
    c = 0
    A.run()
```

Prove  $\Pr[\text{GuessC}(A).\text{main} : \text{win}] \leq nc/|T|$

# Probability Bounds: Failure Event Lemma

We want to bound the probability of triggering *win* for:

```
proc guess(x) =  
  s = $ D_T  
  if c < nc  
    if s = x {win = true}  
    c = c + 1  
  return s
```

Give counter value ( $c$ ), upper bound bound ( $nc$ ) and probability bound of setting flag ( $win$ ) in single call ( $1/|T|$ ).

1. Prove probability bound for flag setting,
2. counter increases monotonically until it hits bound, and
3. if counter is exhausted, then flag will never be set.

$$\implies Pr[G : win] \leq \sum_{i=0}^{nc-1} h(i) \text{ where } h(i) = 1/|T|$$

# Probability Bounds: Failure Event Lemma

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proc guess(x) =  
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$$\implies Pr[G : win] \leq \sum_{i=0}^{nc-1} 1/|T|$$

# Probability Bounds: Failure Event Lemma

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Give counter value ( $c$ ), upper bound bound ( $nc$ ) and probability bound of setting flag ( $win$ ) in single call ( $1/|T|$ ).

1. Prove probability bound for flag setting,
2. counter increases monotonically until it hits bound, and
3. if counter is exhausted, then flag will never be set.

$$\implies Pr[G : win] \leq nc/|T|$$

# Probability Bounds: Generalized $n$ -Guessing Game

```
type T.  
axiom finite_T : finite <:T>  
  
const nc : nat  
axiom nc_pos : nc > 0.  
  
const ns : nat  
axiom ns_pos : ns > 0.  
  
module type GO =  
  guess : T set → T  
  
module type Adv(O : GO) =  
  run : () → ()
```

```
module GuessC(FA : Adv) =  
  module G =  
    proc guess(sX) =  
      s =$ D_T  
      if c < nc  
        if s ∈ sX ∧ |sX| ≤ ns  
          win = true  
          c = c + 1  
        return s  
  
    module A = FA(G)  
  
    proc main() =  
      win = false  
      c = 0  
      A.run()
```

# Probability Bounds: Generalized $n$ -Guessing Game

```
type T.  
axiom finite_T : finite <:T>  
  
const nc : nat  
axiom nc_pos : nc > 0.  
  
const ns : nat  
axiom ns_pos : ns > 0.  
  
module type GO =  
  guess : T set → T  
  
module type Adv(O : GO) =  
  run : () → ()
```

```
module GuessC(FA : Adv) =  
  module G =  
    proc guess(sX) =  
      s = $ D_T  
      if c < nc  
        if s ∈ sX ∧ |sX| ≤ ns  
          win = true  
          c = c + 1  
      return s  
  
  module A = FA(G)  
  
  proc main() =  
    win = false  
    c = 0  
    A.run()
```

Lemma:  $\forall \mathcal{A}. \Pr[\text{GuessC}(\mathcal{A}).\text{main} : \text{win}] \leq (nc * ns) / |T|$

# Probability Bounds: Generalized $n$ -Guessing Game

```
type  $T$ 
```

```
module  $GuessC(FA : Adv) =$ 
```

```
ax Given game  $G(\mathcal{B})$  and some event  $bad^1$ , to bound
```

```
co  $\Pr[G(\mathcal{B}) : bad] \leq (s * l)/q :$ 
```

```
ax 1 Clone theory:
```

```
co instantiate type  $T$  and bounds  $nc$  and  $ns$ 
```

```
ax 2 Express  $G(\mathcal{B})$  as adversary  $\mathcal{A}(\mathcal{B})$  against  $GuessC$ 
```

```
mo 3 Prove  $\Pr[G(\mathcal{B}) : bad] = \Pr[GuessC(\mathcal{A}(\mathcal{B})) : win]$ 
```

```
mo 4 Apply (cloned) Lemma to get bound
```

```
1: Adversary  $\mathcal{B}$  guesses some value in  $\mathbb{F}_q$ 
```

```
win = false
```

```
 $c = 0$ 
```

```
 $\mathcal{A}.run()$ 
```

Lemma:  $\forall \mathcal{A}. \Pr[GuessC(\mathcal{A}).main : win] \leq (nc * ns) / |T|$

# Plug & Pray: bound without `fel`

```
G =  
  proc guess(x) =  
    s =$ D_T  
    if c < nc  
      if s = x {win = true}  
      c = c + 1  
    return s  
  
  proc main() =  
    win = false  
    c = 0  
    A.runguess()
```

$$\Pr[G : \text{win} = \text{true}] \leq nc/q$$



# Plug & Pray: store when flag set

```
G =  
proc guess(x) =  
  s = $ D_T  
  if c < nc  
    if s = x {win = true}  
    c = c + 1  
  return s  
  
proc main() =  
  win = false  
  c = 0  
  A.runguess()
```

```
G1 =  
proc guess(x) =  
  s = $ D_T  
  if c < nc  
    if s = x {win = Some c}  
    c = c + 1  
  return s  
  
proc main() =  
  win = None  
  c = 0  
  A.runguess()
```

$$\Pr[G : \text{win} = \text{true}] = \Pr[G_1 : \exists i \in [0..nc). \text{win} = \text{Some } i]$$

# Plug & Pray: guess when flag will be set

```
G1 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x {win = Some c}  
    c = c + 1  
  return s  
  
proc main() =  
  win = None  
  c = 0  
  A.runguess()
```

```
G2 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x {win = Some c}  
    c = c + 1  
  return s  
  
proc main() =  
  i =$ [0..nc]  
  win = None  
  c = 0  
  A.runguess()
```

$$\Pr[G_1 : \exists i \in [0..nc]. \text{win} = \text{Some } i] \leq nc * \Pr[G_2 : \text{win} = \text{Some } i]$$

# Plug & Pray: guess when flag will be set

```
G1 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x {win = Some c}  
    c = c + 1  
  return s  
  
proc main() =  
  win = None  
  c = 0  
  A.runguess()
```

```
G2 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x {win = Some c}  
    c = c + 1  
  return s  
  
proc main() =  
  i =$ [0..nc]  
  win = None  
  c = 0  
  A.runguess()
```

$$\Pr[G_1 : \exists i \in [0..nc]. \text{win} = \text{Some } i] \leq nc * \Pr[G_2 : \text{win} = \text{Some } i]$$

# Plug & Pray: guess when flag will be set

Packaged as a functor that adds the sampling of  $i$ :

```
PnP(G) =  
  i = $ [0, n]; res_G = G.main(); return(i, res_G)
```

define  $\phi$  (*glob*  $G$ ) = index for *bad* and prove that  
 $\phi$  (*glob*  $G$ )  $\in$   $[0, n)$

$\implies$

```
Pr[G : res]  
≤ n * Pr[PnP(G) : snd res ∧ φ (glob G) = fst res]
```

$\Pr[G_1 : \exists i \in [0..nc). win = Some\ i] \leq nc * \Pr[G_2 : win = Some\ i]$

# Plug & Pray: exploit that we know $i$

```
G2 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x {win = Some c}  
    c = c + 1  
  return s  
  
proc main() =  
  i =$ [0..nc]  
  win = None  
  c = 0  
  A.runguess()
```

```
G3 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x ∧ i = c  
      win = true  
    c = c + 1  
  return s  
  
proc main() =  
  i =$ [0..nc]  
  win = false  
  c = 0  
  A.runguess()
```

$$\Pr[G_2 : \text{win} = \text{Some } i] \leq \Pr[G_3 : \text{win} = \text{true}]$$

# Plug & Pray: rewrite some more exploiting i

```
G3 =  
proc guess(x) =  
  s =$ DT  
  if c < nc  
    if s = x ∧ i = c  
      win = true  
    c = c + 1  
  return s  
  
proc main() =  
  i =$ [0..nc)  
  win = false; c = 0  
  A.runguess()
```

```
G4 =  
proc guess(x) =  
  c = c + 1  
  if c < i  
    s =$ DT  
    return s  
  else if c = i  
    s =$ DT  
    if s = x { win = true }  
  return defaultT  
  
proc main() =  
  i =$ [0..nc)  
  win = false; c = 0  
  A.runguess()
```

$$\Pr[G_3 : \text{win} = \text{true}] = \Pr[G_4 : \text{win} = \text{true}]$$

# Plug & Pray: move sampling and test to main

```
G4 =  
  proc guess(x) =  
    c = c + 1  
    if c < i  
      s = $ DT  
      return s  
    else if c = i  
      s = $ DT  
      if s = x {win = true}  
    return defaultT  
  
  proc main() =  
    i = $ [0..nc)  
    win = false; c = 0  
    A.runguess()
```

```
G5 =  
  proc guess(x) =  
    c = c + 1  
    if c < i  
      s = $ DT  
      return s  
    else if c = i  
      x_i = x //store in global  
      return defaultT  
  
  proc main() =  
    i = $ [0..nc); c = 0  
    A.runguess()  
    s = $ DT  
    win = (s = x_i)
```

$$\Pr[G_4 : \text{win} = \text{true}] = \Pr[G_5 : \text{win} = \text{true}]$$

# Plug & Pray: move sampling and test to main

```
G4 =  
  proc guess(x) =  
    c = c + 1  
    if c < i  
      s = $ DT  
      return s  
    else if c = i  
      s = $ DT  
      if s = x {win = true}  
    return defaultT  
  
  proc main() =  
    i = $ [0..nc)  
    win = false; c = 0  
    A.runguess()
```

```
G5 =  
  proc guess(x) =  
    c = c + 1  
    if c < i  
      s = $ DT  
      return s  
    else if c = i  
      x_i = x //store in global  
      return defaultT  
  
  proc main() =  
    i = $ [0..nc); c = 0  
    A.runguess()  
    s = $ DT  
    win = (s = x_i)
```

$$\Pr[G_4 : \text{win} = \text{true}] = \Pr[G_5 : \text{win} = \text{true}]$$



# Plug & Pray: move sampling and test to main

$\Pr[G_5 : \text{win} = \text{true}] = 1/|T|$  now trivial (`rnd`)

Combined with previous steps:

$\Pr[G : \text{win} = \text{true}] \leq nc / |T|$

**BUT:** How do we move the sampling to main?

```
    return s
  else if c = i
    s = $ D_T
    if s = x {win = true}
  return default_T
```

```
proc main() =
  i = $ [0..nc]
  win = false; c = 0
  A.runguess()
```

```
    return s
  else if c = i
    x_i = x //store in global
  return default_T
```

```
proc main() =
  i = $ [0..nc]; c = 0
  A.runguess()
  s = $ D_T
  win = (s = x_i)
```

$\Pr[G_4 : \text{win} = \text{true}] = \Pr[G_5 : \text{win} = \text{true}]$

# Code movement for random samplings

**eager** tactic: Show that command  $c_{samp}$  can be commuted from start of game to end of game. This includes proof obligations that  $c_{samp}$  commutes with oracle bodies.

Example:  $c_{samp} = \text{if } (G[x] = \text{None}) \{ G[x] =^{\$} \mathcal{D}_T \}$

**lazy/eager** RF: Indistinguishability theorem for random function with finite domain.

$Pr[G(RF_{lazy}, \mathcal{A}) : \text{res}] = Pr[G(RF_{eager}, \mathcal{A}) : \text{res}]$  where:

```
G(RF, A) :  
  RF.init()  
  b = ARF.query()  
  return b
```

```
RFlazy :  
proc init() = m = {}  
proc query(x) =  
  if x ∈ dom m  
    m[x] =$ T'  
  return m[x]
```

```
RFeager :  
proc init() =  
  m =$ D(T,T') map  
proc query(x) =  
  return m[x]
```

## Example: CBC mode

Let  $\mathcal{F} = (\mathcal{KG}, F)$  denote a pseudo random permutation (PRP)

```
k = KG()
proc enc(m : {0, 1}n list) =
  s = $ {0, 1}n
  c = s
  for i = 0 to |m| - 1
    s = Fk(s ⊕ mi)
    c = c || s
  return c
```

# IND-CPA\$ security of CBC mode

Let  $\mathcal{F} = (\mathcal{KG}, F)$  denote a PRP, then  $\mathcal{A}.run$  must distinguish  $CBC(\mathcal{F})$  from a random ciphertext to win IND-CPA\$.

```
proc enc(m) =  
  c = []  
  s =$ {0, 1}n  
  c = c || s  
  for i = 0 to |m| - 1  
    s = Fk(s ⊕ mi)  
    c = c || s  
  return c
```

```
k = KG()  
return  $\mathcal{A}.run^{enc}()$ 
```

```
proc enc(m) =  
  c = []  
  for i = 0 to |m|  
    s =$ {0, 1}n  
    c = c || s  
  return c
```

```
return  $\mathcal{A}.run^{enc}()$ 
```

bound  $\Pr[CBC_1(\mathcal{A}) : res] - \Pr[IND-CPA$(\mathcal{A}) : res]$

# CBC: Apply PRP-security and RP/RF

```
proc enc(m) =  
  c = []  
  s =$ {0, 1}n  
  c = c || s  
  for i = 0 to |m| - 1  
    s =  $F_k(s \oplus m_i)$   
    c = c || s  
  return c
```

```
k =  $\mathcal{KG}()$   
return  $\mathcal{A}.run^{enc}()$ 
```

```
proc enc(m) =  
  c = []  
  s =$ {0, 1}n  
  c = c || s  
  for i = 0 to |m| - 1  
    if ( $s \oplus m_i \notin \text{dom } G$ )  
       $G[s \oplus m_i] =$ \{0, 1\}^n$   
    s =  $G[s \oplus m_i]$   
    c = c || s  
  return c
```

```
G = {}  
return  $\mathcal{A}.run^{enc}()$ 
```

$$\Pr[\text{CBC}_1(\mathcal{A}) : \text{res}] \leq \Pr[\text{CBC}_2(\mathcal{A}) : \text{res}] + \epsilon_{\text{PRP/RP}} + \epsilon_{\text{RP/RF}}$$

# CBC: Replace RF calls by random samplings

```
proc enc(m) =  
  c = []  
  s =$ {0, 1}n  
  c = c || s  
  for i = 0 to |m| - 1  
    if (s ⊕ mi ∉ dom G)  
      G[s ⊕ mi] =$ {0, 1}n  
    s = G[s ⊕ mi]  
    c = c || s  
  return c
```

```
G = {}  
return  $\mathcal{A}.run^{enc}()$ 
```

```
proc enc(m) =  
  c = []  
  s =$ {0, 1}n  
  c = c || s  
  for i = 0 to |m| - 1  
    if (s ⊕ mi ∈ L) {bad = true}  
    L = {s ⊕ mi} ∪ L  
    s =$ {0, 1}n  
    c = c || s  
  return c
```

```
bad = false  
L = ∅  
return  $\mathcal{A}.run^{enc}()$ 
```

$$\Pr[\text{CBC}_2(\mathcal{A}) : \text{res}] \leq \Pr[\text{CBC}_3(\mathcal{A}) : \text{res}] + \Pr[\text{CBC}_3(\mathcal{A}) : \text{bad}]$$

$$\Pr[\text{CBC}_3(\mathcal{A}) : \text{res}] = \Pr[\text{IND-CPA}^{\$}(\mathcal{A}) : \text{res}]$$

# CBC: Rearrange loop

```
proc enc(m) =  
  c = []  
  s =$ {0, 1}n  
  c = c || s  
  for i = 0 to |m| - 1  
    if (s ⊕ mi ∈ L) {bad = true}  
    L = {s ⊕ mi} ∪ L  
    s =$ {0, 1}n  
    c = c || s  
  return c  
  
bad = false  
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```

$$\Pr[\text{CBC}_3(\mathcal{A}) : \text{res}] = \Pr[\text{CBC}_4(\mathcal{A}) : \text{res}]$$

# CBC: Optimistic Sampling

```
proc enc(m) =  
  c = []  
  for i = 0 to |m| - 1  
    s =$ {0, 1}n  
    c = c || s  
    if (s ⊕ mi ∈ L) {bad = true}  
    L = {s ⊕ mi} ∪ L  
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  return c  
  
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return  $\mathcal{A}.run^{enc}()$ 
```

$$\Pr[\text{CBC}_4(\mathcal{A}) : \text{res}] = \Pr[\text{CBC}_5(\mathcal{A}) : \text{res}]$$



# CBC: Reduce to $n$ -Guessing Game

```
proc enc(m) =  
  c = []  
  for i = 0 to |m| - 1  
    s =$ {0, 1}n  
    c = c || s ⊕ mi  
    if (s ∈ L) {bad = true}  
    L = {s} ∪ L  
  s =$ {0, 1}n  
  c = c || s  
  return c  
  
bad = false  
L = ∅  
return  $\mathcal{A}.run^{enc}()$ 
```

```
proc enc(m) =  
  c = []  
  for i = 0 to |m| - 1  
    s = guess(L)  
    c = c || s ⊕ mi  
  
    L = {s} ∪ L  
  s =$ {0, 1}n  
  c = c || s  
  return c  
  
L = ∅  
return  $\mathcal{A}.run^{enc}()$ 
```

$$\Pr[\text{CBC}_4(\mathcal{A}) : \text{res}] = \Pr[\text{GuessC}(\mathcal{B}(\mathcal{A})) : \text{win}] \leq (n_c * n_m)^2 / 2^n$$

## CBC: Alternative approach using Plug&Pray

This proof is slightly more work, but does not require the loop transformation.

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Collision on IV  $\implies$  unfold once and bound event  
“randomly sampled IV queried already to RF”
- ▶ Case distinction  $i > 0$ :  
Collision on intermediate value  $\implies$  split out  $i$ -th iteration  
(sampling) and  $(i + 1)$ -th iteration (test) of the loop and  
bound event “state sampled in  $i$ -th iteration queried  
already to RF”

# Hybrid arguments: $n$ -IND-CPA security

- ▶ Assume:  $\Pr[\text{IND-CPA}^0 : \text{res}] - \Pr[\text{IND-CPA}^1 : \text{res}]$  small

```
proc main() =  
  (pk, sk) =$ KG()  
  (m0, m1) = A.choose(pk)  
  b' = A.guess( $\mathcal{E}_{pk}(m_b)$ )  
  return b'
```

- ▶ Get:  $\Pr[n\text{-IND-CPA}^0 : \text{res}] - \Pr[n\text{-IND-CPA}^1 : \text{res}]$  small

```
proc main() =  
  (pk, sk) =$ KG()  
  b' = B.runenc(pk)  
  return b'
```

```
proc enc(m0, m1) =  
  return  $\mathcal{E}_{pk}(m_b)$ 
```

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- ▶ Formalized in EASYCRYPT as instantiable functor
- ▶ Key step in proving security of constructions using Dual System Technique [Waters'09]: replace real oracle  $\mathcal{O}$  by semi-functional oracle  $\mathcal{O}'$  using Hybrid argument

# Lecture 5 - Summary

We learned about:

- ▶ Bounding probabilities: by using `fe1`, by defining and applying parametric hardness theorems, and by using Plug&Pray
- ▶ Code movement: by using `eager` and by defining an applying parametric indistinguishability theorems
- ▶ Hybrid argument: lift indistinguishability assumption from 1 to  $n$