

# The EasyCrypt Tool

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# Welcome

## Acknowledgements:

- ▶ The EasyCrypt team: Gilles Barthe, Pierre-Yves Strub, Benjamin Grégoire, César Kunz, Juan Manuel Crespo, Benedikt Schmidt

## Organization:

- ▶ Lectures: overview of key components ( $\sim 1/3$ )
- ▶ Labs: hands-on experience ( $\sim 2/3$ )

## Course URL (slides, exercises, related reading):

<https://www.easycrypt.info/trac/wiki/CoursePisa2014>

# EasyCrypt in a nutshell

- ▶ EasyCrypt is a tool-assisted platform for proving security of cryptographic constructions in the computational model
- ▶ Views cryptographic proofs as relational verification of open parametric probabilistic programs

## Goals:

- ▶ Leverage PL and PV techniques for cryptographic proofs
- ▶ Be accessible to cryptographers (choice of PL)
- ▶ Support high-level reasoning principles (still ongoing)
- ▶ Provide reasonable level of automation
- ▶ Reuse off-the-shelf verification tools (we use Why3)

# EasyCrypt usage

- ▶ EasyCrypt is generic: no restrictions on
  - ☞ primitives and protocols
  - ☞ security notions and assumptions
- ▶ Can be used interactively or as a certifying back-end
  - ☞ for cryptographic compilers (Zero-Knowledge)
  - ☞ for domain-specific logics (ZooCrypt)
- ▶ Can verify implementations
  - ☞ C-mode (+ CompCert)
  - ☞ ML code extraction from verified specifications

# EasyCrypt: Languages

A higher-order pure expression language:

- ▶ User-extensible,
- ▶ first-class distributions ( $\alpha$  *distr*),
- ▶ Used to describe abstract functional primitives.

A typed imperative language (pWhile):

- ▶ Used to describe schemes, oracles, adversaries, games...

$\mathcal{C}$	::=	skip	skip
		$\mathcal{V} = \mathcal{E}$	assignment
		$\mathcal{V} = \$D$	random sampling
		$\mathcal{C}; \mathcal{C}$	sequence
		if $\mathcal{E}$ then $\mathcal{C}$ else $\mathcal{C}$	conditional
		while $\mathcal{E}$ do $\mathcal{C}$	while loop
		$\mathcal{V} = \mathcal{F}(\mathcal{E}, \dots, \mathcal{E})$	procedure call

# Semantics of programs

Discrete sub-distribution transformers

$$\llbracket c \rrbracket : \mathcal{M} \rightarrow \mathcal{M} \text{ distr}$$

Probability of an event

$$\Pr [c, m : E] = \llbracket c \rrbracket_m E$$

Losslessness

$$\Pr [c, m : \top] = 1$$

# EasyCrypt: Logics

- ▶ Hoare Logic

$$[c : P \Longrightarrow Q]$$

- ▶ Probabilistic Hoare Logic

$$[c : P \Longrightarrow Q] \leq \delta \quad [c : P \Longrightarrow Q] = \delta \quad [c : P \Longrightarrow Q] \geq \delta$$

- ▶ Probabilistic Relational Hoare Logic

$$[c_1 \sim c_2 : P \Longrightarrow Q]$$

- ▶ Ambient higher-order logic

$$\forall c_1, c_2, m_1, m_2.$$

$$[c_1 \sim c_2 : \text{true} \Longrightarrow =\{\text{res}\}] \Rightarrow$$

$$\Pr [c_1, m_1 : \text{res}] = \Pr [c_2, m_2 : \text{res}]$$

# Lecture Plan

- 1 Functional Programs, Ambient Logic and Interactive Proofs
- 2 Formalizing Distributions
- 3 Interactions between EasyCrypt Logics
- 4 EasyCrypt Modules
- 5 Proving and Transforming Programs
- 6 Structuring Proofs
- 7 Advanced Tactics



# Lecture 1

## Functional Programs, Ambient Logic and Interactive Proofs

# The Ambient Logic

EasyCrypt's ambient logic is a **general higher-order logic**.

In this lecture:

- ▶ How to specify facts about user-defined operators;
- ▶ How to prove them when automatic techniques do not work.

## Topic 1

# The EasyCrypt Core Language

# Types

EasyCrypt is a **typed** language:

- ▶ Core types: unit, bool, int, real, distr
- ▶ Declaring abstract types:

**type** t

**type**  $\alpha$  u

- ▶ Defining new types:

**type**  $\alpha$  list = [ Nil | Cons of  $\alpha$  &  $\alpha$  list ]

**type** complex = { | r:real; i:real | }

**type** intlist = int list

**type**  $(\alpha, \beta)$  pair =  $\alpha * \beta$

# EasyCrypt Expressions

- ▶ Declaring abstract operators:

map :  $(\alpha \rightarrow \beta) \rightarrow \alpha \text{ list} \rightarrow \beta \text{ list}$

fold :  $(\beta \rightarrow \alpha \rightarrow \beta) \rightarrow \beta \rightarrow \alpha \text{ list} \rightarrow \beta$

- ▶ Defining concrete operators:

**op** max (x y : int) = (a < b) ? b : a.

**op** length (xs : 'a list) =

**with** xs = Nil => 0

**with** xs = Cons x xs => 1 + length xs.

**op** length\_fold (xs : 'a list) = fold (fun v \_, 1 + v) 0 xs.

- ▶ Can make use of let binders:

**op** fst (x:'a \* 'b) = let (a,b) = x in a.

# EasyCrypt Formulas

- ▶ Logical operators:

<i>forall</i> (x : t), $\phi$	$(\forall (x : t), \phi)$		<i>exists</i> (x : t), $\phi$	$(\exists (x : t), \phi)$
$\phi_1 \Rightarrow \phi_2$	$(\phi_1 \Rightarrow \phi_2)$		$\phi_1 \Leftrightarrow \phi_2$	$(\phi_1 \Leftrightarrow \phi_2)$
$\phi_1 \wedge \phi_2$	$(\phi_1 \wedge \phi_2)$		$\phi_1 \vee \phi_2$	$(\phi_1 \vee \phi_2)$
$\phi_1 \&\& \phi_2$	$(\phi_1 \wedge (\phi_1 \Rightarrow \phi_2))$		$\phi_1    \phi_2$	$(\phi_1 \vee (!\phi_1 \Rightarrow \phi_2))$
$!\phi$	$(\neg \phi)$			

- ▶ Quantification on memories: *forall* &m, ...,
- ▶ Quantification on modules: *forall* (M <: T), ...,
- ▶ **HL, pHL and pRHL judgments**,
- ▶ Probability expressions (given a module M and a memory &m):  $\text{Pr}[M.f(x) \text{ @ } \&m: E]$ .

# Axioms / Lemmas

- ▶ Specifying operators axiomatically:
  - op** count : 'a → 'a list → int.
  - axiom** count\_nil (x : 'a): count x [] = 0.
  - axiom** count\_cons\_eq (x : 'a) (xs : 'a list):  
count x (x :: xs) = 1 + (count x xs).
  - axiom** count\_cons\_neq (x y : 'a) (xs : 'a list):  
x <> y => count x (y :: xs) = count x xs.
- ▶ Stating facts:
  - lemma** fact1 (x y : int):  $x \leq 0 \Rightarrow y \leq 0 \Rightarrow 0 \leq x * y$ .
  - lemma** fact2 (xs :  $\alpha$  list): length xs = length\_fold xs.
  - lemma** fact3 x (xs :  $\alpha$  list):  $0 \leq \text{count } x \text{ xs} \leq \text{length } \text{xs}$ .

## Topic 2

### Interactive Proofs





# Continuing the proof

---

**lemma** mylemma b1 b2 b3 : ...

**proof.**

move=> hb12.

---

b1 : bool

b2 : bool

b3 : bool

hb12 : b1  $\Rightarrow$  b2

---

---

(b2  $\Rightarrow$  b3)  $\Rightarrow$  b1  $\Rightarrow$  b3

# Continuing the proof

---

**lemma** mylemma b1 b2 b3 : ...

**proof.**

move=> hb12 bh23 hb1.

---

b1 : bool

b2 : bool

b3 : bool

hb12 : b1  $\Rightarrow$  b2

hb23 : b2  $\Rightarrow$  b3

hb1 : b1

---

---

b3

# Continuing the proof

---

**lemma** mylemma b1 b2 b3 : ...

**proof.**

move=> hb12 bh23 hb1.

**apply** hb23.

---

b1 : bool

b2 : bool

b3 : bool

hb12 : b1  $\Rightarrow$  b2

hb23 : b2  $\Rightarrow$  b3

hb1 : b1

---

---

**b2**

# Continuing the proof

---

**lemma** mylemma b1 b2 b3 : ...

**proof.**

move=> hb12 bh23 hb1.

apply hb23.

apply hb12.

---

b1 : bool

b2 : bool

b3 : bool

hb12 : b1  $\Rightarrow$  b2

hb23 : b2  $\Rightarrow$  b3

hb1 : b1

---

---

b1

# Continuing the proof

---

**lemma** mylemma b1 b2 b3 : ...

**proof.**

move=> hb12 bh23 hb1.

apply hb23.

apply hb12.

**assumption.**

---

Proof completed

# Continuing the proof

---

**lemma** mylemma b1 b2 b3 : ...

**proof.**

move=> hb12 bh23 hb1.

apply hb23.

apply hb12.

**assumption.**

**qed.**

---

## Topic 3

### Core Tactics



# Propositional logic

►  $b1 \Rightarrow b2 \Rightarrow b3$

As a goal [move  $\Rightarrow$  b1 b2]

$$\frac{\frac{\quad}{b1 \Rightarrow b2 \Rightarrow b3}}{\quad} \rightarrow \frac{\begin{array}{l} b1 : \text{bool} \\ b2 : \text{bool} \end{array}}{b3}$$

As a hypothesis [apply]

# Propositional logic

►  $b1 \Rightarrow b2 \Rightarrow b3$

As a goal [move  $\Rightarrow$  b1 b2]

As a hypothesis [apply]

$$\frac{\frac{h : b1 \Rightarrow b2 \Rightarrow b3}{b3}}{b3} \quad \hookrightarrow$$

$$1. \frac{\frac{h : b1 \Rightarrow b2 \Rightarrow b3}{b1}}{b1} \quad 2. \frac{\frac{h : b1 \Rightarrow b2 \Rightarrow b3}{b2}}{b2}$$

# Propositional logic - connectors

► Conjunction:  $a \wedge b$

As a goal [split] (prove  $a \wedge b$ )

$$\frac{}{a \wedge b} \hookrightarrow 1. \frac{}{a} \quad 2. \frac{}{b}$$

As a hypothesis [elim ab] (destruct  $a \wedge b$  in a *and* b)

$$\frac{ab : a \wedge b}{\phi} \hookrightarrow \frac{}{a \Rightarrow b \Rightarrow \phi}$$

# Propositional logic - connectors

► Disjunction:  $a \vee b$

As a goal

- [left] (prove  $a \vee b$  by proving  $a$ )

$$\frac{}{a \vee b} \quad \hookrightarrow \quad \frac{}{a}$$

- [right] (prove  $a \vee b$  by proving  $b$ )

$$\frac{}{a \vee b} \quad \hookrightarrow \quad \frac{}{b}$$

As a hypothesis [elim ab] (case analysis on  $a \vee b$ )

$$\frac{ab : a \vee b}{\phi} \quad \hookrightarrow \quad 1. \frac{}{a \Rightarrow \phi} \quad 2. \frac{}{b \Rightarrow \phi}$$

# Propositional logic - existential

- ▶ Existential: *exists*  $x : t, \phi(x)$

As a goal [exists v] (prove goal by giving a witness)

$$\frac{}{\text{exists } x : t, \phi(x)} \rightsquigarrow \frac{}{\phi(v)}$$

As a hypothesis [elim h] (extract a witness)

$$\frac{h : \text{exists } x : t, \phi(x)}{\phi'} \rightsquigarrow \frac{}{\text{forall } (v : t), \phi(v) \Rightarrow \phi'}$$

# Case analysis

The tactic `case` performs a case analysis on boolean or inductive expressions.

$$\frac{\begin{array}{l} a : \text{bool} \\ b : \text{bool} \end{array}}{a \oplus b = (a \wedge !b) \vee (!a \wedge b)}$$

(`case a`) leads to

1. 
$$\frac{\begin{array}{l} a : \text{bool} \\ b : \text{bool} \end{array}}{a \Rightarrow \text{true} \oplus b = (\text{true} \wedge !b) \vee (!\text{true} \wedge b)}$$

2. 
$$\frac{\begin{array}{l} a : \text{bool} \\ b : \text{bool} \end{array}}{!a \Rightarrow \text{false} \oplus b = (\text{false} \wedge !b) \vee (!\text{false} \wedge b)}$$

# Identification up to computations

EasyCrypt comes with a set of **simplification rules**.

$$\frac{\begin{array}{l} a : \text{bool} \\ b : \text{bool} \end{array}}{\text{false} \oplus b = (\text{false} \wedge !b) \vee (!\text{false} \wedge b)}$$

[simplify] leads to

$$\frac{\begin{array}{l} a : \text{bool} \\ b : \text{bool} \end{array}}{b = b}$$

that can be easily solved by **reflexivity**.

# Identification up to computations

Computations include (among other notions)

- ▶ reduction of function applications ( $\beta$ -reduction),
- ▶ inlining operator bodies ( $\delta$ -reduction),
- ▶ logical operators tautology ( $a \wedge \text{false} \rightarrow \text{false}$ ) ([[logic](#)]).

Terms that are equal up to computations are considered **identical**

$a : \text{bool}$

$b : \text{bool}$

---

---

$$!a \Rightarrow \text{false} \oplus b = (\text{false} \wedge !b) \vee (!\text{false} \wedge b)$$

can be directly solved by **reflexivity**.



# Rewrite - replace equals by equals

The tactic `rewrite` replaces a subterm `a` of the goal by an equal one `b`. It requires a proof of `a = b` or `a ⇔ b`.

`rewrite h`

$$\frac{h : a = b}{\frac{}{P a}} \rightsquigarrow \frac{h : a = b}{\frac{}{P b}}$$

Currently, one **cannot** rewrite under quantifiers, but one can [`subst`]itute **variables**. `a` is also substituted in the context.

`subst a`

$$\frac{h : a = b}{\frac{}{\phi}} \rightsquigarrow \frac{}{\frac{}{\phi [b/a]}}$$

# Rewrite - replace equals by equals

rewrite comes in different flavor:

- ▶ `rewrite -h` : from right to left
- ▶ `rewrite mh` where `m` is a multiplier
  - ? as many times as possible
  - ! as many times as possible, at least one
  - `n?` at most `n` times
  - `n!` exactly `n` times
- ▶ `rewrite {o}h` where `o` is a sequence of positive integers  
Rewrites the `oth` occurrences only.
- ▶ `rewrite /o` where `o` is a defined operator's name  
Expands (or unfolds) the operator's definition. (`rewrite -/o` folds the definition back in.)

# Rewrite - replace equals by equals

$$2 * (a + b) = (b + a) + (a + b)$$

- ▶ `rewrite {2}addnC`

$$2 * (a + b) = (b + a) + (b + a)$$

- ▶ `rewrite (addnC b a)`

$$2 * (a + b) = (a + b) + (a + b)$$

- ▶ `rewrite -!addnA`

$$2 * (a + b) = b + (a + (a + b))$$

# Logical cut

The tactic `cut:  $\phi$`  allows to do a forward chaining

$$\frac{h: \dots}{\phi'} \rightsquigarrow 1. \frac{h: \dots}{\phi} \quad 2. \frac{h: \dots}{\phi \Rightarrow \phi'}$$

It is possible to give a name to the new goal (`cut my:  $\phi$` )

$$\frac{h: \dots}{\phi'} \rightsquigarrow 1. \frac{h: \dots}{\phi} \quad 2. \frac{h: \dots}{\text{my: } \phi'}$$

# Induction

An **induction principle** for a type  $t$  is any formula of the form:

$$\forall (p : t \rightarrow \text{bool}), \phi_1 \rightarrow \dots \rightarrow \phi_n, \forall (x : t), \psi_1(x) \rightarrow \dots \rightarrow \psi_n(x) \rightarrow p\ x$$

For example, for natural numbers:

$$\text{forall } (p : \text{int} \rightarrow \text{bool}), p\ 0 \Rightarrow$$

$$(\text{forall } (x : \text{int}), 0 \leq x \Rightarrow p\ x \Rightarrow p\ (x + 1)) \Rightarrow$$

$$\text{forall } (x : \text{int}), 0 \leq x \Rightarrow p\ x$$

# Induction - Induction Principles

Induction principles are generated automatically for inductive datatypes.

**type**  $\alpha$  list = [Nil | Cons of  $\alpha$  &  $\alpha$  list].

yields

*forall* (p : 'a list  $\rightarrow$  bool),

p Nil  $\Rightarrow$

(*forall* (x : 'a) (xs : 'a list), p xs  $\Rightarrow$  p (Cons x xs))  $\Rightarrow$

*forall* (xs : 'a list), p xs

# Induction

Applying the induction principle via `apply` can be cumbersome.

The tactic `elim/` eases the applications of such principles.

$$\frac{\frac{P : \text{int} \rightarrow \text{bool}}{0 \leq x \Rightarrow P x}}{\quad} \rightsquigarrow \text{elim/ind } x$$

$$1. \frac{\frac{P : \text{int} \rightarrow \text{bool}}{P 0}}{\quad} \quad 2. \frac{\frac{P : \text{int} \rightarrow \text{bool}}{\forall (x : \text{int}), 0 \leq x \rightarrow P x \rightarrow P (x+1)}}{\quad}$$

# Induction

For inductive types, the induction principle is automatically selected by the `elim` tactic.

$$\frac{\begin{array}{l} P : \alpha \textit{ list} \rightarrow \textit{ bool} \\ x : \alpha \textit{ list} \end{array}}{\textit{ P x}} \quad \rightarrow \textit{ elim x}$$

$$1. \frac{P : \alpha \textit{ list} \rightarrow \textit{ bool}}{\textit{ P Nil}}$$

$$2. \frac{P : \alpha \textit{ list} \rightarrow \textit{ bool}}{\forall (x : \alpha) (xs : \alpha \textit{ list}), \textit{ P xs} \rightarrow \textit{ P (Cons x xs)}}$$



# Automation

EasyCrypt comes with some automation tactics:

- ▶ **progress** breaks the goal by repeated applications of the introduction tactics (**split**, **move**, ...) and elimination on introduced assumptions. Several variants:
  - **progress** [nosplit] does not split the conclusion.
  - **trivial** leaves the goal intact if not entirely discharged.
- ▶ **smt**: try to solve the goal using external SMT solvers.

Topic 4

Tacticals

# Tacticals I

**Tacticals** are operators on tactics.

- ▶ `t1; t2`  
apply `t1` and then `t2` on all generated subgoals
- ▶ `t; [t1|...|tn]`  
apply `t` and then each of the  $t_i$  to the  $i^{\text{th}}$  subgoal
- ▶ `do t`  
repeat `t` as much as possible, at least one time  
this tactic takes the same multiplier of `rewrite`  
`do! t`, `do? t`, `do n! e`, `do n? t`
- ▶ `try t`  
try to apply `t`, or nothing if `t` cannot be applied
- ▶ `by t1; ...; tn`  
apply `t1; ...; tn` and then try to close all the subgoals via `trivial`. fail if all the subgoals cannot be solved.

## Tacticals II

- ▶  $t_1$ ; **first**  $t_2$   
apply  $t_1$  and then  $t_2$  on the first subgoal
- ▶  $t_1$ ; **last**  $t_2$   
apply  $t_1$  and then  $t_2$  on the last subgoal
- ▶ **variants**:  $t_1$ ; **first**  $n$   $t_2$ ,  $t_1$ ; **last**  $n$   $t_2$
- ▶  $t$ ; **first**  $n$  **last**  
apply  $t$  and then shift the  $n$  first goals to the end

# Tacticals - Intro Patterns

- ▶  $t \Rightarrow ip_1 \dots ip_n$   
apply  $t$  and then execute the introduction of  $ip_1 \dots ip_n$ 
  - $t \Rightarrow x$   
introduce a name / a hypothesis
  - $t \Rightarrow [ip_1 | \dots | ip_n]$   
do a case analysis on the top assumption and execute  $ip_i$  on the  $i^{\text{th}}$  subgoal
  - $t \Rightarrow \rightarrow$ , or  $t \Rightarrow \leftarrow$   
introduce an equational hypothesis and rewrite it
  - $t \Rightarrow \{h\}$   
clear the hypothesis  $h$
  - $t \Rightarrow //$ , or  $t \Rightarrow /=$ , or  $t \Rightarrow // =$   
execute **trivial**, **simplify**, **simplify**; **trivial**
- ▶ `move` is the identity tactic.
- ▶ In **cut**  $ip: \phi$ ,  $ip$  is an arbitrary intro pattern.

# Trade-off between interactive / automatic proof

- ▶ EasyCrypt has two kinds of tactics
  - low-level, interactive ones
  - the SMT hammer

The difficulty is to find the right trade-off between the two.

- SMT goal resolution success can be very unstable
  - SMT is very good at solving large numbers of small problems generated by the program logics
- 
- ▶ `qed` does not mark the end of the proof.

## Lecture 2

### Formalizing Distributions

# Distributions in EasyCrypt

- ▶ Discrete sub-distributions
- ▶ Described by their probability mass function:  
 $\mu : \alpha \text{ *distr*} \rightarrow (\alpha \rightarrow \text{*bool*}) \rightarrow \text{real}.$
- ▶ In this lecture
  - Base axioms on distributions,
  - Some example definitions.



# Properties of distributions

## General Axioms

*(\* mu d p is always within the unit interval \*)*

**axiom** mu\_bounded (d:'a distr) (p:'a  $\rightarrow$  bool):

$$0\%r \leq \text{mu } d \text{ p} \leq 1\%r.$$

*(\* The probability of the false event is 0 \*)*

**axiom** mu\_false (d:'a distr): mu d (fun \_, false) = 0%r.

*(\* Probability of a disjunction of events \*)*

**axiom** mu\_or (d:'a distr) (p q:'a  $\rightarrow$  bool):

$$\text{mu } d \text{ (cpOr p q)} = \text{mu } d \text{ p} + \text{mu } d \text{ q} - \text{mu } d \text{ (cpAnd p q)}.$$

*(\* Point-wise equality is equality \*)*

**axiom** pw\_eq (d d': 'a distr):

$$d == d' \Rightarrow d = d'.$$

# An example definition

## Example (Uniform distribution on booleans)

**op** bool\_uf: bool distr.

**axiom** bool\_uf\_def (p: bool  $\rightarrow$  bool):

mu bool\_uf p = (1%r / 2%r) \* charfun p true +  
(1%r / 2%r) \* charfun p false.

# Derived Operators

## Derived Operators

*(\* Probability of a particular element \*)*

**op**  $\text{mu\_x (d:'a distr) (x:'a): real} = \text{mu d ((=) x)}$ .

*(\* Support of a distribution \*)*

**op**  $\text{support (d:'a distr) x: bool} = 0\%r < \text{mu d x}$ .

*(\* Point-wise equality \*)*

**pred**  $\text{(==) (d1:'a distr) (d2:'a distr) = mu\_x d1 == mu\_x d2}$ .

## Some remarks

- ▶ Some lemmas on distributions can be used with `rewrite Pr` to rewrite probability expressions.

### Example (`rewrite Pr mu_or`)

$$\begin{array}{c} \text{Pr}[f, m : A \vee B] \\ \downarrow \\ \text{Pr}[f, m : A] + \text{Pr}[f, m : B] - \text{Pr}[f, m : A \wedge B] \end{array}$$

- ▶ It is better, if possible, to define distributions using `mu` and prove simplification lemmas for `mu_x` and `support`.

### Example

**lemma** support\_uf\_bool (b:bool): support uf\_bool b.

**lemma** mu\_x\_uf\_bool (b:bool): mu\_x uf\_bool b = 1%r / 2%r.

**lemma** lossless : mu uf\_bool (fun \_, true) = 1%r.

# Distribution Transformers

- ▶ The standard library defines distribution transformers.
  - **op** ( \* ):  $\alpha$  *distr*  $\rightarrow$   $\beta$  *distr*  $\rightarrow$   $(\alpha * \beta)$  *distr*. (in Pair.ec)
  - **op** dapply:  $(\alpha \rightarrow \beta) \rightarrow \alpha$  *distr*  $\rightarrow$   $\beta$  *distr*. (in Distr.ec)
  - ...
- ▶ Very useful to describe complex distributions.

# Summary

- ▶ A way of *axiomatically* defining discrete distributions.
- ▶ Very powerful rewriting results on probability expressions.

## Lecture 3

### Interactions between EasyCrypt Logics

# Reminder: The EasyCrypt Logics

- ▶ Hoare Logic

$$[c : P \Longrightarrow Q]$$

- ▶ Probabilistic Hoare Logic

$$[c : P \Longrightarrow Q] \leq \delta \quad [c : P \Longrightarrow Q] = \delta \quad [c : P \Longrightarrow Q] \geq \delta$$

- ▶ Probabilistic Relational Hoare Logic

$$[c_1 \sim c_2 : P \Longrightarrow Q]$$

- ▶ Ambient higher-order logic

$$\forall c_1, c_2, m_1, m_2.$$

$$[c_1 \sim c_2 : \text{true} \Longrightarrow =\{\text{res}\}] \Rightarrow$$

$$\Pr [c_1, m_1 : \text{res}] = \Pr [c_2, m_2 : \text{res}]$$



# byphoare, byequiv, bypr, conseq

In this lecture

- ▶ How to interpret HL, pHL and pRHL judgments as statements on probability expressions,
- ▶ How to interpret statements on probability expressions as HL, pHL or pRHL judgments,
- ▶ How to combine HL, pHL and pRHL judgments together to strengthen them.
- ▶ **Warning:** Whenever a pre or postcondition appears outside of aHL, pHL or pRHL judgment, it is parameterized by one or several memories. I omit this in the slides.

# Proving probability expressions

- ▶ The formula

$$\begin{aligned} &\forall c_1, c_2, m_1, m_2. \\ & [c_1 \sim c_2 : \text{true} \Longrightarrow =\{\text{res}\}] \Rightarrow \\ & \text{Pr}[c_1, m_1 : \text{res}] = \text{Pr}[c_2, m_2 : \text{res}] \end{aligned}$$

is a direct consequence of the definition for  $[\cdot \sim \cdot : \cdot \Longrightarrow \cdot]$ .

- ▶ Similar rules connect other fragments of the logic together.
- ▶ These rules can be applied in proofs using the tactics `byequiv`, `byphoare`, `hoare`, and `bypr`.

# Interpreting **equiv** judgments

Equivalence: **equiv**[c1 ~ c2: P ==> Q]

As a hypothesis [byequiv ( : P ==> Q)]

$$\overline{\overline{\text{Pr}[c1, m1: E1] = \text{Pr}[c2, m2: E2]}}$$

$\hookrightarrow$

1.  $\overline{\overline{P \ m1 \ m2}}$
2.  $\overline{\overline{\text{equiv}[c1 \sim c2: P \Rightarrow Q]}}$
3.  $\overline{\overline{\forall m1' \ m2', Q \ m1' \ m2' \Rightarrow (E1 \ m1' \Leftrightarrow E2 \ m2')}}}$

- ▶  $\text{Pr}[c1, m1: E1] \leq \text{Pr}[c2, m2: E2]$  (with  $E1 \ m1' \Rightarrow E2 \ m2'$ )
- ▶  $\text{Pr}[c1, m1: E1] \geq \text{Pr}[c2, m2: E2]$  (with  $E2 \ m2' \Rightarrow E1 \ m1'$ )

# Interpreting **equiv** judgments

Equivalence: **equiv**[c1 ~ c2: P ==> Q]

**As a goal**     **bypr** (X1) (X2)     (prove by point-wise equality)

$$\frac{}{\text{equiv}[c1 \sim c2: P \Rightarrow Q]}$$

$\hookrightarrow$

m1: memory

m2: memory

H : P m1 m2

1. 
$$\frac{}{\forall X, \text{Pr}[c1, m1: X = X1] = \text{Pr}[c2, m2: X = X2]}$$

m1:memory

m2:memory

2. 
$$\frac{}{\forall X, X = X1\{m1\} \Rightarrow X = X2\{m2\} \Rightarrow Q \text{ m1 m2}}$$

# Interpreting **phoare** judgments

Probabilistic Hoare Triple: **phoare**[c: P ==> Q] = d

As a hypothesis [byphoare ( $\_$ : P  $\implies$  Q)]

$$\begin{array}{c} \overline{\overline{\text{Pr}[c, m: E] = d}} \\ \iff \\ \begin{array}{l} 1. \overline{\overline{P \ m}} \qquad 2. \overline{\overline{\text{phoare}[c: P \implies Q] = d}} \\ 3. \overline{\overline{\forall m', Q \ m' \Leftrightarrow E \ m'}} \end{array} \end{array}$$

- ▶  $\text{Pr}[c, m: E] \leq d$  (with  $\text{phoare}[c: P \implies Q] \leq d$  and  $E \ m' \Rightarrow Q \ m'$ )
- ▶  $\text{Pr}[c, m: E] \geq d$  (with  $\text{phoare}[c: P \implies Q] \geq d$  and  $E \ m' \Rightarrow Q \ m'$ )

# Interpreting **phoare** judgments

Probabilistic Hoare Triple: **phoare**[c: P ==> Q] = d

As a goal    **bypr**    (prove by point mass)

$$\frac{}{\frac{\mathbf{phoare}[c: P ==> Q] = d}}{\quad}} \quad \hookrightarrow \quad \frac{\begin{array}{l} m: \text{memory} \\ H: P \ m \end{array}}{\frac{\mathbf{Pr}[c, m: Q] \ d}}{\quad}}$$

# Intepreting **hoare** judgments

Hoare Triple: **hoare**[c: P ==> Q]

As a goal    **bypr** (zero probability)

$$\frac{}{\text{hoare}[c: P ==> Q]} \quad \rightarrow \quad \frac{\begin{array}{l} m: \text{memory} \\ H: P \ m \end{array}}{\text{Pr}[c, m: !Q] = 0}$$

# Strengthening contracts

A simple rule of consequence:  $\text{conseq} (\_ : P \implies Q)$

$$\frac{}{\text{hoare}[c: P' \implies Q']}$$



$$1. \frac{}{P \implies P'} \quad 2. \frac{}{\text{hoare}[c: P \implies Q]} \quad 3. \frac{}{Q' \implies Q}$$

Also works for pHL and pRHL judgments. In pHL, the bound can also be strengthened. Useful variants:

- ▶  $\text{conseq} (\_ : \_ \implies Q)$ , weaken only the postcondition,
- ▶  $\text{conseq} (\_ : P \implies Q)$ , weaken only the precondition,
- ▶  $\text{conseq}^* (\_ : P \implies Q)$ , quantify only on variables that may be modified by the statement (frame rule).



# Combining contracts: Hoare + Hoare

The `conseq` tactic can also be used to combine together several judgments.

[`conseq` ( $\_ : P' \implies Q'$ ) ( $\_ : P'' \implies Q''$ )]:

$$\overline{\overline{\text{hoare}[c: P \implies Q]}}$$



$$\begin{array}{ll} 1. \overline{\overline{P \implies P' \wedge P''}} & 2. \overline{\overline{\text{hoare}[c: P' \implies Q']}} \\ 3. \overline{\overline{\text{hoare}[c: P'' \implies Q'']}} & 4. \overline{\overline{Q' \wedge Q'' \implies Q}} \end{array}$$

# Combining contracts: pHoare + Hoare

[**conseq** ( $\_ : P' \implies Q' : =d$ ) ( $\_ : P'' \implies Q''$ )] (also with  $\leq, \geq$ ):

$$\frac{}{\frac{}{\mathbf{phoare}[c: P \implies Q] : = d'}}$$



1.  $\frac{}{P \implies P' \wedge P''}$
2.  $\frac{}{\mathbf{phoare}[c: P' \implies Q'] = d}$
3.  $\frac{}{\mathbf{hoare}[c: P'' \implies Q'']}$
4.  $\frac{}{Q' \wedge Q'' \implies Q}$

# Combining contracts: equiv + Hoare

[**conseq** ( $\_ : P' \implies Q'$ ) ( $\_ : P1 \implies Q1$ ) ( $\_ : P2 \implies Q2$ ):

$$\frac{}{\frac{}{\mathbf{equiv}[c1 \sim c2: P \implies Q]}}$$

$\hookrightarrow$

1. 
$$\frac{m1:memory \quad m2:memory}{\frac{}{P \ m1 \ m2 \ \Rightarrow \ P' \ m1 \ m2 \ / \ P1 \ m1 \ / \ P2 \ m2}}$$

2. 
$$\frac{}{\mathbf{equiv}[c1 \sim c2: P' \implies Q']}$$
      3. 
$$\frac{}{\mathbf{hoare}[c1: P1 \implies Q1]}$$

4. 
$$\frac{}{\mathbf{hoare}[c2: P2 \implies Q2]}$$

5. 
$$\frac{m1:memory \quad m2:memory}{\frac{}{Q' \ m1 \ m2 \ \wedge \ Q1 \ m1 \ \wedge \ Q2 \ m2 \ \Rightarrow \ Q \ m1 \ m2}}$$

# Combining contracts: equiv + pHoare

[conseq ( $\_ : P1 \implies Q1$ ) ( $\_ : P2 \implies Q2$ : =1%r)]:

$$\frac{}{\text{equiv}[c1 \sim \text{skip}: P \implies Q]}$$

$\hookrightarrow$

1. 
$$\frac{\begin{array}{l} m1:\text{memory} \\ m2:\text{memory} \end{array}}{P \ m1 \ m2 \implies P1 \ m1 \ m2}$$

2. 
$$\frac{\begin{array}{l} m1:\text{memory} \\ m2:\text{memory} \end{array}}{Q1 \ m1 \ m2 \implies Q \ m1 \ m2}$$

3. 
$$\frac{\begin{array}{l} m1:\text{memory} \\ m2:\text{memory} \end{array}}{P1 \ m1 \ m2 \implies P2 \ m2}$$

4. 
$$\frac{\begin{array}{l} m1:\text{memory} \\ m2:\text{memory} \end{array}}{Q2 \ m2 \implies Q1 \ m1 \ m2}$$

5. 
$$\frac{}{\text{phoare}[c1: P2 \implies Q2]}$$

## On the notation (`_ : ...`)

- ▶ A tactic parameter of the form (`_ : ...`) can be replaced with a **proof term** whose conclusion have the correct form.
- ▶ For example, if you have the following lemma

**lemma** `c_ll (b':bool): phoare[c: b = b'  $\implies$  true] = 1%`,  
you can write

`conseq (c_ll true)`

instead of

`conseq (_ : b = true  $\implies$  true); first by apply (c_ll true).`

## A few words on modules

- ▶ All the judgments and tactics we discussed talk about programs,
- ▶ But we've said nothing about what those programs are...
- ▶ They can be *procedures* in **modules**, or just statements.
- ▶ Modules are objects that define a *memory space* and a set of *procedures* that may use variables in that space.
- ▶ They can be abstracted by *module types* that define a set of required procedures.

# Conclusions

- ▶ A lot can be proved about programs without looking at their body.
- ▶ Combining judgments can save a lot of time and effort:
  - Real-world programs have complex Hoare invariants.
  - Real-world cryptographic proofs have complex relational invariants
  - It is *almost always* beneficial to consider them separately when doing proofs, until they are both needed.
- ▶ It is almost as easy to reason about probabilities of events in programs as it is to reason about probabilities in functional distributions.
- ▶ In fact, **programs define distributions!**

## Lecture 4

### EasyCrypt Modules



## So far, we've seen

- ▶ how to specify and prove properties of mathematical operators;
- ▶ how to specify and prove properties of (discrete sub-)distributions;
- ▶ how to force the interpretation of procedures as distribution transformers (and vice-versa);
- ▶ how to combine and strengthen judgments about abstract procedures.

But we still don't know how to write a procedure...

# Modules

Objectives:

- ▶ Manage complexity by abstraction
- ▶ Instantiate generic transformations (simplified syntax)

---

$$\text{forall } \&m \text{ (A } <: \text{ AdvCCA), exists (B } <: \text{ AdvCPA),}$$
$$\text{Pr[CCA(FO(S),A) @ } \&m \text{ : b' = b ] } <=$$
$$\text{Pr[CPA(S,B) @ } \&m \text{ : b' = b] + \dots}$$

---

- ▶ Support high-level reasoning steps

Central to EasyCrypt:

- ▶ Used to specify schemes, oracles, cryptographic assumptions, adversaries and game-based properties.

# Contents of a module

---

```
module M = {           (* name of the module *)  
  var m : t           (* global variable declarations *)  
  var m1, m2 : t  
  
  proc h(x:int) : int = {  (* procedure definitions *)  
    ...  
  }  
  
  module N = ...      (* sub-module definitions *)  
}.  

```

---

Some **restrictions**:

- ▶ Types, operators and predicates cannot be declared/defined inside a module
- ▶ No polymorphism: variables and procedures are **monomorphic**

# My first module

---

```
module RO = {  
  var m : (int, int) map  
  
  proc h (x:int) : int = {  
    var r : int;  
    r = $[0..10];  
    if (!in_dom x m) m.[x] = r;  
    return proj (m.[x]);  
  }  
}.
```

---

A module RO with a global variable m and a procedure h.  
Outside the module, the global variable is denoted RO.m and  
the procedure RO.f

# Modules can call and use the memory of others

---

```
module M1 = {  
  var x : int  
  proc f (i:int) : int = { ... }  
}.
```

```
module M2 = {  
  proc g (i:int) : int = {  
    M1.x = M1.x + 1;  
    i = M1.f(i);  
    return i;  
  }  
}.
```

---

# Module types

A module type is an abstraction of a module

---

```
module type ADV = {                               (* name of the module type *)  
  proc choose (pk:pkey) : msg * msg   (* procedure declarations *)  
  proc guess (c:cipher) : bool  
}.  

```

---

## Remarks:

- ▶ Module types cannot contain variable and module declarations
- ▶ A module M has type I if it contains at least the procedures declared in I (with the correct types)

# Modules can be parameterized by other modules: Functors

---

```
module CPA (S:Scheme, A:ADV) = {  
  proc main () : bool = {  
    var ...  
    (pk,sk) = S.kg();  
    (m0,m1) = A.choose(pk);  
    b = ${0,1};  
    challenge = S.enc(pk, b?m1:m0);  
    b' = A.guess(challenge);  
    return b' = b;  
  }.  
}
```

---

**Remark:** The procedures A.choose and A.guess can share procedures and memory (active adversary)

**Restriction:** A sub-module cannot be a functor

# Functor application

It is possible to define a module by (partially) applying a functor to other modules.

---

**module** A : ADV = { .... }.      (\* *Structure* \*)

**module** CPA' = CPA.      (\* *Alias* \*)

**module** CPAS = CPA(S).      (\* *Partial application* \*)

**module** CPASA = CPA(S,A).      (\* *Full application* \*)

---

Module types are checked when applying functors.



# The memory model

---

```
module M1 = { var x : int }.
```

```
module M2 = M1.
```

```
module T = {  
  proc f () : unit = { M1.x = 1; M2.x = 2 }  
}.
```

---

**Questions:** After the execution of T.f

- ▶ what is the value of M2.x?
- ▶ what is the value of M1.x?

# The memory model

---

```
module type Empty = {}.
```

```
module E : Empty = {}.
```

```
module F(I:Empty) = { var x : int }.
```

```
module M1 = F(E).
```

```
module M2 = F(E).
```

```
module T = {  
  proc f () : unit = { M1.x = 1; M2.x = 2 }  
}.
```

---

**Question:** After the execution of T.f what is the value of M2.x and M1.x in?

# Functor application is not generative

A module should be understood as a pair of:

- ▶ A memory space (the global variables declared in the module)
- ▶ A set of procedures (possibly parameterized by the procedures provided by module parameters)

## Remarks:

- ▶ Functor application **does not generate** a new memory space.
- ▶ Global variables of a functor can be read or written without applying the functor:  $F.x = F.x + 1$ ;
- ▶ Procedures cannot be called until the functor is fully applied.

## Back to the CPA game

---

```
module CPA (A:Adv) = {  
  proc main () : bool = {  
    var ...  
    (pk,sk) = S.kg();  
    (m0,m1) = A.choose(pk);  
    b =  $\{0,1\}$ ;  
    challenge = S.enc(pk, b?m1:m0);  
    b' = A.guess(c);  
    return b' = b;  
  }.  
}
```

---

In the literature, the IND-CPA, IND-CCA1, IND-CCA properties are all defined using the same basic game. Only the adversary's capabilities change.

# Capabilities of adversary

	IND-CPA	IND-CCA1	IND-CCA
A.choose	—	S.dec(sk)	S.dec(sk)
A.guess	—	—	S.dec(sk) \ {c}

Sometimes the number of queries allowed to S.dec(sk) is also limited

The module system can capture these notions

# A first try, declaration of the IND-CCA adversary

---

```
module type DEC = {  
  proc dec(c:cipher) : msg option  
}.
```

```
module type ADV(D:Dec) = {  
  proc choose (pk:pkey) : msg * msg  
  proc guess (c:cipher) : bool  
}.
```

---

**Remark:** This does not capture the notion of IND-CCA1 adversary, since the guess function can call the decryption oracle

# A more restrictive module type system

For each procedure of a module type it is possible to select which procedures provided by the module parameters can be called.

---

```
module type DEC = { proc dec(c:cipher) : msg }  
module type ADVCCA1(D:DEC) = {  
  proc choose (pk:pkey) : msg * msg   { D.dec }  
  proc guess  (c:cipher) : bool       { }  
}
```

---

Here *choose* can call *D.dec* whereas *guess* cannot.

the notation

```
proc choose (pk:pkey) : msg * msg
```

is a shortcut for

```
proc choose (pk:pkey) : msg * msg { all procedures }
```

# IND-CCA: using the type module system

We can split the decryption oracle in two (one for choose and one for guess)

---

```
module type DEC2 = {  
  proc dec_c(c:cipher) : msg  
  proc dec_g(c:cipher) : msg  
}.  
module type ADVCCA(D:DEC2) = {  
  proc choose (pk:pkey) : msg * msg { D.dec_c }  
  proc guess (c:cipher) : bool { D.dec_g }  
}.  

```

---



# IND-CCA: the decryption oracle

The decryption oracle in the guess stage can now “reject” queries on the challenge.

---

```
module D : DEC2 = {  
  var sk : skey  
  var challenge : cipher  
  
  proc dec_c (c:cipher) : msg option = {  
    var r : msg option;  
    r = S.dec(sk, c);  
    return r;  
  }  
  proc dec_g (c:cipher) : msg option = {  
    var r : msg = None;  
    if (c <> challenge) r = S.dec(sk, c);  
    return r;  
  }  
}.
```

---

# EasyCrypt allows quantification over modules

---

*forall* &m (A <: Adv) :  
  *exists* (I <: Inverter),  
    Pr[CPA(A).main() @ &m : res] - (1/2) <= Pr[OW(I).main() @ &m : res].

*forall* (A <: Adv), **equiv** [CCA(A).main ~ G(A).main : ... ==> ...]

---

Can express formulas like:

- ▶ *Forall adversary A there exists a simulator B ...*
- ▶ *There exists a simulator B such that forall adversary A ...*

# Memory constraints and access control

---

```
module X = { var x : int }.  
module G(A:Adv) = {  
  proc g () : unit = {  
    X.x = 3;  
    A.f();  
  }  
}.
```

```
lemma F : forall (A<:Adv), hoare[G(A).g : true ==> X.x = 3].
```

---

Can we prove such a lemma ?

# Negative constraints

The answer is clearly “no”: take the following module A1.

---

```
module G(A:Adv) = {  
  proc g () : unit = { X.x = 3; A.f(); }  
}
```

```
module A1 = {  
  proc f() : unit = { X.x = 4; }  
}
```

```
lemma F (A<:Adv): hoare[G(A).g : true ==> X.x = 3].
```

---

But F becomes true, if we restrict the quantification to modules that do not “use the memory of X”.

# Negative constraints

EasyCrypt allows quantifications over restricted classes of modules using negative constraints:

---

**lemma** T : *forall* (A <: Adv{X}), **hoare**[G(A).g : true ==> X.x = 3].

---

The “forall (A<:Adv{X})” should be understood as

for all “adversary” A whose implementation does not use the  
“memory space” of X.

# What is the memory space of a module ?

The memory space of a module  $M$  is:

- ▶ The global variables declared inside the module (and its sub-modules)
- ▶ The global variables of the external modules used in  $M$  (also indirectly)

# Restrictions are checked during instantiation

---

**lemma** T : *forall* (A <: Adv {X}), **hoare**[G(A).g : true ==> X.x = 3].

**module** A2 = { }.

**lemma** Error1 : **hoare**[G(A2).g : true ==> X.x = 3].

---

Error message: **invalid module application: arguments do not match required interfaces**

---

**lemma** Error2 : **hoare**[G(A1).g : true ==> X.x = 3].

*apply* (T A1).

---

Error message: **the module A1 should not use X**

- ▶ Modules are used for everything stateful.
- ▶ Functors are used to specify and prove systems in a compositional way.
- ▶ One module, one memory space: there are never two copies of a global.
- ▶ The full importance of restricting the memory of quantified modules will become clear when we discuss tactics.



## Lecture 5

# Proving and Transforming Programs

# Tactics for the Program Logics

Three kinds:

- ▶ Tactics that operate on the contracts (Lecture 3),
- ▶ Tactics that deduce properties of the program from their semantics,
- ▶ Tactics that transform programs into equivalent ones.

An overview of the proof rules for the program logics was given in week 1... (if you remember that far)

# Overview

- ▶ Consider a HL, pHL or pRHL judgment.
- ▶ Program logics turn it into a purely logical formula.
- ▶ Follow the program's structure from the bottom-up.
- ▶ Use the language semantics to transform the pre and post according to the statement that is being dealt with.
- ▶ The tactics themselves implement the core proof rules from Week 1 composed with the sequential composition and `conseq` rule.

## Starting a proof about a concrete procedure: [proc]

Assume that  $M.f$  has the following definition.

**module**  $M = \{ \text{proc } f(): t = \{ \text{var } r; c; \text{return } r; \} \}$ .

**hoare** $[M.f: P \implies Q] \iff$  **hoare** $[c: P \implies Q[r/\text{res}]]$

---

**hoare** $[M.f: P \implies Q]$

$\iff$

$[\text{proc } *] \text{ hoare}[r = M.f(): P \implies Q[r/\text{res}]]$

Similar rules for other types of judgments.

# Dealing with the empty program: [skip]

$$\mathbf{hoare}[\text{skip}: P \implies Q] \iff \forall \&m, P \ m \implies Q \ m$$

---

$$\mathbf{phoare}[\text{skip}: P \implies Q] = 1\%r \iff \forall \&m, P \ m \implies Q \ m$$

---

$$\mathbf{equiv}[\text{skip} \sim \text{skip}: P \implies Q]$$



$$\forall \&m1 \ \&m2, P \ m1 \ m2 \implies Q \ m1 \ m2$$

- ▶ The **phoare** version can also be used to prove other statements, but you will *never* be able to prove  $\mathbf{phoare}[\text{skip}: P \implies Q] = d$  (or  $\leq d$ ) when  $d < 1$ .

## Straight-line deterministic code: [wp] and [sp]

- ▶ [wp] consumes uninterrupted sequences of deterministic assignments at the end of a program and transform the postcondition.
- ▶ [sp] consumes uninterrupted sequences of deterministic assignments at the beginning of a program and transform the precondition.
- ▶ Variants:
  - [wp n] and [sp n] (HL and pHL): Consume up to (sp: down to) line number n.
  - [wp n n'] and [sp n n'] (pRHL): Consume up to (sp: down to) line number n in the left program, and line number n' in the right program.

# Sequential Composition: [seq]

As expected for HL, pRHL and lower-bound pHL:

$$\mathbf{hoare}[c; c': P \Longrightarrow Q]$$

$$\hookrightarrow [\text{seq } n: (\phi)] \text{ with } n = |c|$$

1.  $\mathbf{hoare}[c: P \Longrightarrow \phi]$
  2.  $\mathbf{hoare}[c': \phi \Longrightarrow Q]$
- 

$$\mathbf{equiv}[c1; c2 \sim c1'; c2': P \Longrightarrow Q]$$

$$\hookrightarrow [\text{seq } n \ n': (\phi)] \text{ with } n = |c1|, n' = |c1'|$$

1.  $\mathbf{equiv}[c1 \sim c2: P \Longrightarrow \phi]$
  2.  $\mathbf{hoare}[c1' \sim c2': \phi \Longrightarrow Q]$
- 

$$\mathbf{phoare}[c; c': P \Longrightarrow Q] \geq d$$

$$\hookrightarrow [\text{seq } n: (\phi) \ d1 \ d2] \text{ with } n = |c|$$

1.  $\mathbf{phoare}[c: P \Longrightarrow \phi] \geq d1$
2.  $\mathbf{hoare}[c': \phi \Longrightarrow Q] \geq d2$
3.  $d1 * d2 \geq d$

# Sequential Composition for pHL

Probabilistic intermediate invariants cause issues:

$$\mathbf{phoare}[c; c': P \implies Q] = d$$

$$\iff [\text{seq } n: (\phi) \text{ d1 } \text{d2 } \text{d3 } \text{d4 } (\psi)] \text{ with } n = |c|$$

$$1. \mathbf{hoare}[c: P \implies \psi]$$

$$2. \mathbf{phoare}[c: P \implies \phi] = d1 \quad 3. \mathbf{phoare}[c': \phi \wedge \psi \implies Q] = d2$$

$$4. \mathbf{phoare}[c: P \implies \wp] = d3 \quad 5. \mathbf{phoare}[c': \wp \wedge \psi \implies Q] = d4$$

$$6. d1 * d2 + d3 * d4 = d$$

- ▶  $\psi$  is a *deterministic* invariant: we want it to hold whenever we reach the end of  $c$ .
- ▶  $\phi$  is a *probabilistic* invariant: it may or may not hold when we reach the end of  $c$  depending on random samplings.
- ▶ Some simplifications possible:
  - if  $\psi$  is true, it can be omitted,
  - if one of  $d1, d2$  (or  $d3, d4$ ) is 0, the other can be  $\_$ .
- ▶ Note the hidden application of *conseq*: makes the tactic more applicable than the proof rule from Week 1.



# Case analysis on program variables: [case]

Introduces a case analysis on some expression of the program variables **in the precondition**.

**hoare**[c: P  $\implies$  Q]

$\hookrightarrow$  [case ( $\phi$ )]

1. **hoare**[c: P  $\wedge \phi \implies$  Q]    2. **hoare**[c: P  $\wedge \neg \phi \implies$  Q]
- 

**phoare**[c: P  $\implies$  Q] = d

$\hookrightarrow$  [case ( $\phi$ )]

1. **phoare**[c: P  $\wedge \phi \implies$  Q] = d    2. **phoare**[c: P  $\wedge \neg \phi \implies$  Q] = d
- 

**equiv**[c  $\sim$  c': P  $\implies$  Q]

$\hookrightarrow$  [case ( $\phi$ )]

1. **equiv**[c  $\sim$  c': P  $\wedge \phi \implies$  Q]    2. **hoare**[c'  $\sim$  c': P  $\wedge \neg \phi \implies$  Q]

# One-sided pRHL tactics

- ▶ Almost all pRHL tactics from here on have one-sided variants.
- ▶ Allow relational proofs on programs that have different control-flow structures.
- ▶ The one-sided tactics are built by composition of pRHL [seq], the pRHL + pHL version of conseq, and the corresponding pHL tactic.
- ▶ To apply the one-sided variant of a tactic, use {1} or {2} immediately after the tactic's name.

## Random assignments: [rnd]

$$\text{hoare}[c; x \stackrel{\$}{\leftarrow} dx: P \implies Q]$$

$\hookrightarrow$  [rnd]

$$\text{hoare}[c: P \implies \forall v, \text{support } dx \ v \Rightarrow Q]$$

(Non-Deterministic interpretation of random sampling.)

---

$$\text{phoare}[c; x \stackrel{\$}{\leftarrow} dx: P \implies Q] = d$$

$\hookrightarrow$  [rnd ( $\phi$ )]

$$\text{phoare}[c: P \implies \mu dx \ \text{True} = d \wedge$$

$$\forall v, \text{support } dx \ v \Rightarrow \phi v \Leftrightarrow Q[v/x]] = 1\%$$

(If  $\phi$  is omitted, the postcondition  $Q$  is used.)

---

$$\text{equiv}[c; x \stackrel{\$}{\leftarrow} dx \sim c'; y \stackrel{\$}{\leftarrow} dx': P \implies Q]$$

$\hookrightarrow$  [rnd ( $f$ ) ( $f'$ )]

“ $f$  and  $f'$  are inverse bijections and preserve weights +  
hoare-style non-deterministic post-condition”

## Conditionals: [if]

Do a case analysis on a conditional test *at the beginning* of the program. pRHL variant is **synchronized**.

**hoare**[if (e) { c1 } else { c2 }; c: P  $\implies$  Q]

$\hookrightarrow$  [if]

1. **hoare**[c1; c: P  $\wedge$  e  $\implies$  Q]
  2. **hoare**[c2; c: P  $\wedge$  !e  $\implies$  Q]
- 

**phoare**[if (e) { c1 } else { c2 }; c: P  $\implies$  Q] = d

$\hookrightarrow$  [if]

1. **phoare**[c1; c: P  $\wedge$  e  $\implies$  Q] = d
  2. **phoare**[c2; c: P  $\wedge$  !e  $\implies$  Q] = d
- 

**equiv**[if (e) { c1 } else { c2 }; c  $\sim$  if (e') { c1' } else { c2' }; c': P  $\implies$  Q]

$\hookrightarrow$  [if]

1.  $\forall m m', P m m' \Rightarrow e\{m\} \Leftrightarrow e'\{m'\}$
2. **equiv**[c1; c  $\sim$  c1'; c': P  $\wedge$  e  $\implies$  Q]
3. **equiv**[c2; c  $\sim$  c2'; c': P  $\wedge$  !e  $\implies$  Q]

# Desynchronized Conditionals: [if{.}]

**equiv**[if (e) { c1 } else { c2 }; c ~ c': P  $\implies$  Q]

$\hookrightarrow$  [if{1}]

1. **equiv**[c1; c ~ c': P  $\wedge$  e  $\implies$  Q]
  2. **equiv**[c2; c ~ c': P  $\wedge$  !e  $\implies$  Q]
- 

**equiv**[c ~ if (e') { c1' } else { c2' }; c': P  $\implies$  Q]

$\hookrightarrow$  [if{2}]

1. **equiv**[c ~ c1'; c': P  $\wedge$  e'  $\implies$  Q]
2. **equiv**[c ~ c2'; c': P  $\wedge$  !e'  $\implies$  Q]

## Procedure calls: [call]

**hoare** $[c; x = M.f(y): P \Longrightarrow Q$

$\hookrightarrow$  [call ( $\_$ :  $P' \Longrightarrow Q'$ )]

1. **hoare** $[M.f: P' \Longrightarrow Q']$
2. **hoare** $[c: P \Longrightarrow P' \wedge (\forall v, Q'[v/x] \Rightarrow Q[v/x])]$

(The “quantification on  $v$ ” introduces fresh values for every program variable  $x$  that may be modified by  $M.f.$ )

---

**phoare** $[c; x = M.f(y): P \Longrightarrow Q] = d$

$\hookrightarrow$  [call ( $\_$ :  $P' \Longrightarrow Q'$ )]

1. **phoare** $[M.f: P' \Longrightarrow Q'] = d$
2. **phoare** $[c: P \Longrightarrow P' \wedge (\forall v, Q'[v/x] \Rightarrow Q[v/x])]$

(Use [seq] if you need to adjust the probability use for  $M.f.$ )

---

**equiv** $[c; x = M.f(y) \sim c'; x' = M'.f(y'): P \Longrightarrow Q$

$\hookrightarrow$  [call ( $\_$ :  $P' \Longrightarrow Q'$ )]

1. **equiv** $[M.f \sim M'.f: P' \Longrightarrow Q']$
2. **equiv** $[c \sim c': P \Longrightarrow P' \wedge (\forall v, Q'[v/x] \Rightarrow Q[v/x])]$

(A useful variant to try out call ( $\_$ :  $\phi$ ).)

# Loops: [while]

pRHL loops must be synchronized (or the one-sided rule must be used...)

**hoare**[c1; **while** (e) { c2 } : P  $\implies$  Q]

$\iff$  [**while** ( $\phi$ )]

1. **hoare**[c2 :  $\phi \wedge e \implies \phi$ ]
  2. **hoare**[c1 : P  $\implies \phi \wedge (\forall v, \phi[v/x] \Rightarrow Q[v/x])$ ]
- 

**equiv**[c1; **while** (e) { c2 }  $\sim$  c1' **while** (e') { c2' } : P  $\implies$  Q]

$\iff$  [**while** ( $\phi$ )]

1. **equiv**[c2  $\sim$  c2' :  $\phi \wedge e \wedge e' \implies \phi \wedge e \Leftrightarrow e'$ ]
2. **equiv**[c1  $\sim$  c1' : P  $\implies \phi \wedge (\forall v, !e[v/x] \Rightarrow !e'[v/x] \Rightarrow \phi[v/x] \Rightarrow Q[v/x])$ ]

# pHL Loops: [while]

Loops in pHL are more complicated.

$$\text{phoare}[c1; \text{while } (e) \{ c2 \}: P \implies Q] = d$$
$$\quad \hookrightarrow [\text{while } (\phi) (va)]$$

1.  $\forall z, \text{phoare}[c2: \phi \wedge e \wedge va = z \implies \phi \wedge va < z] = 1\%$
2.  $\text{phoare}[c1: P \implies \phi \wedge (\forall v,$   
 $\quad (\phi[v/x] \implies e[v/x] \implies va[v/x] \leq 0 \implies !e[v/x]) \wedge$   
 $\quad (!e[v/x] \implies \phi[v/x] \implies Q[v/x])) = d$

- ▶ The rule works with  $\leq$  and  $\geq$  as well.
- ▶ There are rules for while loops where termination does not matter ( $\leq$ ) or is not variant-based (“sample until”). They are discussed in the final lecture (if we make it).



# Dealing with abstract procedures

- ▶ We need rules to perform proofs on universally quantified modules
- ▶ The rules should be valid independently of the *implementation* of the module
- ▶ In the following, assume that  $A.f$  is abstract and may query an oracle  $o$ .

$$\text{hoare}[A.f: \phi \Longrightarrow \phi \\ \hookrightarrow [\text{proc } (\phi )]$$

1. “ $\phi$  does not depend on the memory of  $A$ ”
2.  $\text{hoare}[o: \phi \Longrightarrow \phi ]$

---

$$\text{equiv}[A.f \sim A.f: =\{\text{arg}\} \wedge \phi \Longrightarrow =\{\text{res}\} \wedge \phi ] \\ \hookrightarrow [\text{proc } (\phi )]$$

1. “ $\phi$  does not depend on the memory of  $A$ ”
2.  $\text{rgesequiv}[o \sim o: =a \wedge \phi \Longrightarrow =r \wedge \phi ]$

# Fundamental Lemma

A very frequent step in cryptographic proof

$$\Pr[G: E] \leq \Pr[G': E] + \Pr[G': F]$$

This can be established using the following pRHL lemma (see tutorial on conseq)

$$\mathbf{equiv}[G.f \sim G'.f: !F\{2\} \implies E\{1\} = E\{2\}]$$

How do we prove this judgment?

# Upto failure abstract procedure call

Several conditions on the oracles:

- ▶ Assuming the failure event has not occurred, prove the invariant;
- ▶ Prove that the failure event is monotonic;
- ▶ Since execution is no longer synchronized after the failure event, need to prove losslessness of everyone involved.

$$\mathbf{equiv}[A.f \sim A.f: =\{\text{arg}\} \wedge \phi \wedge !\text{bad}\{2\} \Longrightarrow !\text{bad}\{2\} \Rightarrow =\{\text{res}\} \wedge \phi]$$

$\hookrightarrow [\text{proc}(\text{bad}) (\phi)]$

1. “bad,  $\phi$  do not depend on the memory of A”
2.  $\mathbf{equiv}[o \sim o: =\{\text{arg}\} \wedge \phi \wedge !\text{bad}\{2\} \Longrightarrow !\text{bad}\{2\} \Rightarrow =\{\text{res}\} \wedge \phi]$
3.  $\mathbf{equiv}[o \sim o: !\text{bad}\{2\} \Longrightarrow !\text{bad}\{2\}]$
4. “losslessness conditions”

A more precise version takes a second invariant that should hold after bad becomes true:  $[\text{proc}(\text{bad}) (\phi) (\phi')]$ .

# Transforming Programs

- ▶ We've seen tactics that operate on the pre and postconditions,
- ▶ and tactics that consume a program to prove properties about it.
- ▶ We can also transform a program into an equivalent one.

## Inlining a procedure call: [inline]

- ▶ `inline M.f` inlines the code of `M.f`, with some additional assignments to avoid overwriting of local variables.
- ▶ `inline{1} M.f` inlines only in the left program.
- ▶ `inline * inline` all concrete procedures iteratively until no more inlining is possible.

## Swapping statements: [swap]

- ▶ swap n off move statement at line n to line 'n + off' (if offset is negative, it is moved up)
- ▶ swap{1} n off, swap{2} n off...
- ▶ swap [n..m] off move the block between lines n and m and move it by offset off.
- ▶ The swapped statements need to be independent from all the statements they cross.

## Reducing conditional: [rcondt, rcondf]

- ▶ `rcondt n` asks to prove that the condition at line `n` is true and inlines the appropriate branch.
- ▶ `rcondf n` does the same but with the else branch
- ▶ a side needs to be specified in pRHL
- ▶ can be used to unroll the first iteration of a loop, or make a loop disappear if its condition is initially false!
- ▶ Note that the `if` tactic is a composition of `case` and `rcond`.

# Advanced loop tactics: [splitwhile,fusion,fission]

**Warning:** Syntax is ugly, broken and unstable.

- ▶ `splitwhile (cond): {side} n`: splits the loop at line `n` using additional condition `cond`.
- ▶ `fusion` can merge two loops with the same indices into a single loop
- ▶ `fission` can split a loop into two distinct loops if the loop body can be split into independent chunks
- ▶ We won't be using these, but it's good to know they exist



# Conclusions

- ▶ Which tactic to apply can usually be decided by the last statement in the program(s).
- ▶ When dealing with an abstract procedure, the invariants and the proof obligations should be about the oracles that procedure is allowed to query.
- ▶ Don't forget about one-sided tactic variants in pRHL.
- ▶ In pRHL, losslessness conditions arise whenever programs are out of sync. They are annoying, but easy to deal with.

# Automation

- ▶ In pRHL, when proving simple properties of programs that are very similar, try using [sim].
- ▶ In all program logics, the [auto] tactic may also become useful.

## Lecture 6

### Structuring Proofs

# Instantiation

- ▶ Concrete schemes and abstract adversaries
- ▶ Reuse existing proofs when realizing cryptographic assumptions? (e.g., one-way trapdoor with RSA)
- ▶ Sections hide proof artifacts from final theorems, automatically infer restrictions on adversaries, and generalize theorems with module quantification.
- ▶ Cloning avoids user-level code duplication by instantiating abstract types and operators with concrete values, creating module copies with disjoint memories.

# Sections

**section.**

**declare module** Adv : A{Prop,Hyp}.

**local module** G1 = {  
 var count:int (*\* some state \**)  
 fun main() = { (*\* uses A \**) }  
}.

**local module** Dist : D = { (*\* uses A \**) }

**local equiv** Prop\_G1:  
 [Prop(A).main ~ G1.main: true ==> ={res}].

**local equiv** G1\_Hyp:  
 [G1.main ~ Hyp(D).main: true ==> ={res}].

**lemma** &m final: *exists* (Dist<:D),  
 Pr[Prop(A).main() @ m: res] = Pr[Hyp(D).main() @ m: res].  
**end section.**

# Sections

- ▶ Inside the section, declared modules are independent from modules defined (declared) after it.

- ▶ **print axiom** final.

yields (after the section is closed)

**lemma** final (Adv:>A{Prop,Hyp}) &m:

*exists* (Dist:>D),

$\text{Pr}[\text{Prop}(A).\text{main}() \text{ @ } \&\text{m}: \text{res}] = \text{Pr}[\text{Hyp}(D).\text{main}() \text{ @ } \&\text{m}:\text{res}].$

- ▶ Declared modules become parameters to lemmas.
- ▶ Local lemmas disappear.
- ▶ Local modules disappear and restrictions can be dropped.

# Usages of Sections

- ▶ Simplify proofs by inferring adversary restrictions and quantifications.

**module** G(Adv:A) = { ... }.

**lemma** foo (Adv<:A{G}):  
  **equiv** [ **Pr**[G(A).f() ~ ... ].

**section.**

**declare** Adv<:A.  
**module** G = { ... }.

**lemma** foo:  
  **equiv** [ **Pr**[G.f() ~ ...].  
**end section.**

- ▶ Generalize theorem statements by hiding proof artifacts.
  - Adversary restrictions,
  - Intermediate games,
  - Intermediate equivs, lemmas and proofs.

# Theories: Generalities

Theories provide an additional layer of generalization:

- ▶ declared abstract types yield “polymorphism”,
- ▶ declared constants and operators yield “universal quantifications”,
- ▶ in forms that EasyCrypt cannot reason about...
- ▶ ... but that allow efficient code reuse.



# Theories: A simple example

**theory** Monoid.

**type** t.

**op** one: t.

**op** ( \* ): t  $\rightarrow$  t  $\rightarrow$  t.

**axiom** mul1m (x : t): one \* x = x.

**axiom** mulm1 (x : t): x \* one = x.

**axiom** mulmA (x y z : t): (x \* y) \* z = x \* (y \* z).

**end** Monoid.

# Cloning: A simple example

```
require import Int.  
clone Monoid as MInt with  
  type t ← int,  
  op one = 1,  
  op ( * ) ← ( * )  
  proof * by smt.
```

```
print theory MInt. (* yields *)
```

```
theory MInt.  
  op one: int = 1.
```

```
  lemma mul1m (x : int): one * x = x.
```

```
  lemma mulm1 (x : int): x * one = x.
```

```
  lemma mulmA (x y z : int): (x * y) * z = x * (y * z).
```

```
end MInt.
```

# Theories: Cloning and Realization

When cloning, you can:

- ▶ define (=) or override ( $\leftarrow$ )
  - abstract types,
  - abstract operators (and constants),
- ▶ define *abstract* sub-theories,
  - All declared types and operators are abstract,
  - the theory contains only axioms (no lemmas).
- ▶ discharge some (or all) axioms
  - by giving a single proof for all axioms (usually **smt**),
  - or by giving individual proofs.

## Theories: Cloning modules

- ▶ You can clone theories that contain modules.
- ▶ You get an exact copy of the module that works in a separate memory space.
- ▶ This is useful for code reuse and may have unforeseen applications in proofs.

# Cloning: An example

```
theory ROM.  
  module RO = {  
    var m: (word,word) map  
  
    fun init(): unit = {  
      m = empty;  
    }  
  
    fun h(x:word): word = {  
      if (!lin_dom x m) m.[x] =  
        $dword;  
      return m.[x];  
    }  
  }.  
end ROM.
```

```
theory ROM'.  
  module RO = {  
    var m: (word,word) map  
  
    fun init(): unit = {  
      m = empty;  
    }  
  
    fun h(x:word): word = {  
      if (!lin_dom x m) m.[x] =  
        $dword;  
      return m.[x];  
    }  
  }.  
end ROM'.
```

Or just use **clone** ROM **as** ROM'.

## Cloning: Some notes

- ▶ Cloning a theory that declares abstract types creates new, distinct abstract types unless you define or override them.
- ▶ Cloning is not especially suited to equipping existing theories with new algebraic structures.
- ▶ When used carelessly, cloning can cause SMT to give up.

# Summary

Several ways to generalize proofs and theorems:

- ▶ Automatically infer module dependencies and adversary restrictions using sections.
- ▶ Abstract away the proof and its artifacts and keep only the relevant theorems and hypotheses using local modules and lemmas.
- ▶ Perform crypto proofs on abstract modules and operators before instantiating them using theories and cloning.

## Lecture 7

### Advanced Tactics